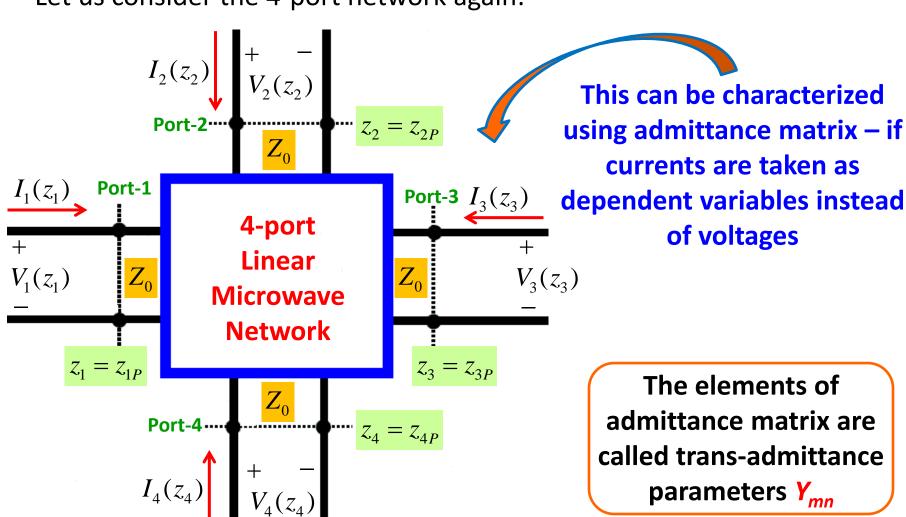
# Lecture - 10

Date: 04.09.2014

- The Admittance Matrix
- Lossless and Reciprocal Networks
- Examples
- The Scattering Matrix
- Matched, Lossless, Reciprocal Devices
- Examples

#### **The Admittance Matrix**

Let us consider the 4-port network again:



The trans-admittances  $Y_{mn}$  are defined as:

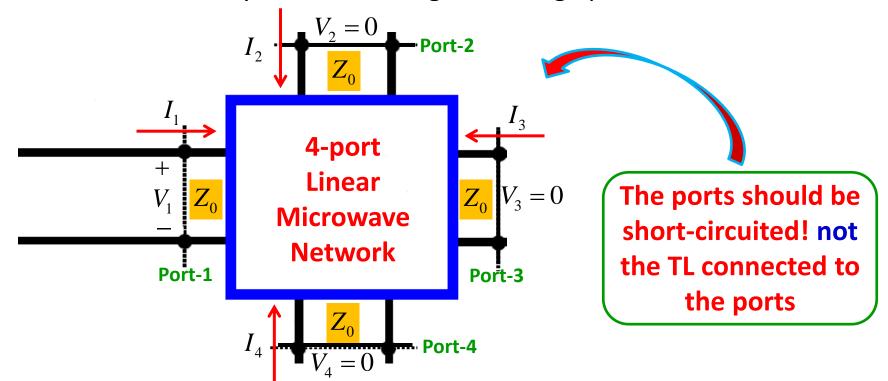
$$Y_{mn} = \frac{I_m}{V_n}$$

 $Y_{mn} = \frac{I_m}{V}$  (given that  $V_k = 0$  for all  $k \neq n$ )

#### **Important**

$$Y_{mn} \neq \frac{1}{Z_{mn}}$$

It is apparent that the voltage at all but one port must be equal to zero. This can be ensured by short-circuiting the voltage ports.



- Once we have defined the terms by shorting various ports, it is time to formulate the admittance matrix.
- Since the network is linear, the current at any one port due to all the port voltages is simply the coherent sum of the currents at that port due to each of the port voltages.
- For example, the current at port-3 is:

$$I_3 = Y_{34}V_4 + Y_{33}V_3 + Y_{32}V_2 + Y_{31}V_1$$

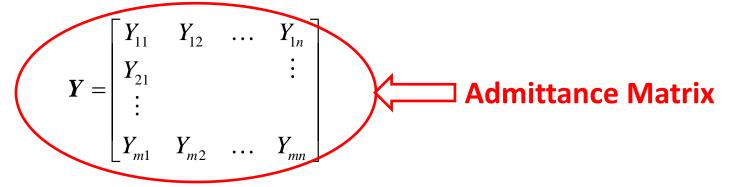
 Therefore we can generalize the current for N-port network as:

$$I_{m} = \sum_{n=1}^{N} Y_{mn} V_{n}$$
  $\Longrightarrow$   $\mathbf{I} = \mathbf{YV}$ 

Where I and V are vectors given as:

$$\mathbf{V} = [V_1, V_2, V_3, ..., V_N]^T$$
  $\mathbf{I} = [I_1, I_2, I_3, ..., I_N]^T$ 

The term Y is matrix given by:



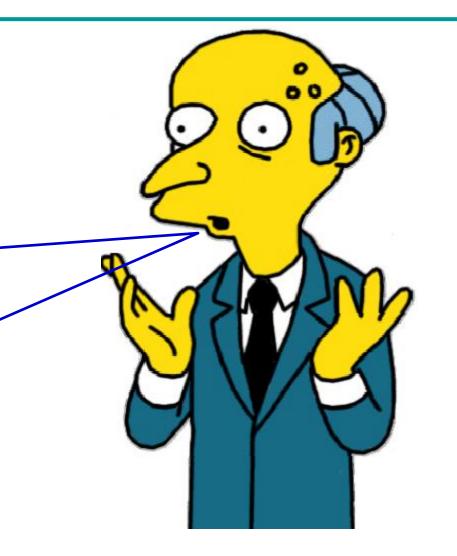
 The values of elements in the admittance matrix are frequency dependents and often it is advisable to describe admittance matrix as:

$$Y(\omega) = \begin{bmatrix} Y_{11}(\omega) & Y_{12}(\omega) & \dots & Y_{1n}(\omega) \\ Y_{21}(\omega) & & & \vdots \\ \vdots & & & & \\ Y_{m1}(\omega) & Y_{m2}(\omega) & \dots & Y_{mn}(\omega) \end{bmatrix}$$

#### You said that

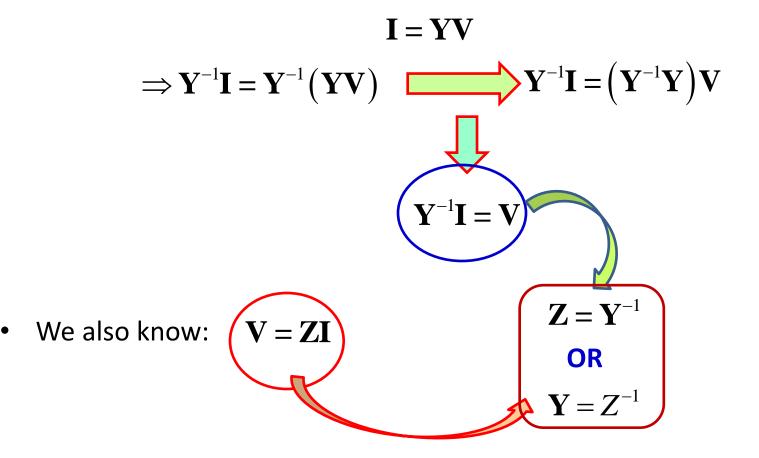
$$Y_{mn} \neq \frac{1}{Z_{mn}}$$

Is there any relationship between admittance and impedance matrix of a given device?



**Answer:** Let us see if we can figure it out!

• Recall that we can determine the inverse of a matrix. Denoting the matrix inverse of the admittance matrix as  $Y^{-1}$ , we find:



#### **Reciprocal and Lossless Networks**

We can classify multi-port devices or networks as either lossless or lossy;
 reciprocal or non-reciprocal. Let's look at each classification individually.

#### **Lossless Network**

- A lossless network or device is simply one that cannot absorb power. This
  does not mean that the delivered power at every port is zero; rather, it
  means the total power flowing into the device must equal the total
  power exiting the device.
- A lossless device exhibits an impedance matrix with an interesting property. Perhaps not surprisingly, we find for a lossless device that the elements of its impedance matrix will be purely reactive:

 $\operatorname{Re}(Z_{mn}) = 0$ 

For a lossless device

## Reciprocal and Lossless Networks (contd.)

- If the device is lossy, then the elements of the impedance matrix must have at least one element with a real (i.e., resistive) component.
- Furthermore, we can similarly say that if the elements of an admittance matrix are all purely imaginary (i.e.,  $Re\{Y_{mn}\}=0$ ), then the device is lossless.

#### **Reciprocal Network**

- Ideally, most passive, linear microwave components will turn out to be reciprocal—regardless of whether the designer intended it to be or not!
- Reciprocity is a tremendously important characteristic, as it greatly simplifies an impedance or admittance matrix!
- Specifically, we find that a reciprocal device will result in a symmetric impedance and admittance matrix, meaning that:

$$Z_{mn} = Z_{nm}$$
  $Y_{mn} = Y_{nm}$ 

$$Y_{mn} = Y_{nm}$$

For a reciprocal device

## Reciprocal and Lossless Networks (contd.)

• For example, we find for a reciprocal device that  $Z_{23} = Z_{32}$ , and  $Y_{12} = Y_{21}$ .

lossless, but not reciprocal

$$\mathbf{Z} = \begin{bmatrix} j2 & j0.1 & j3 \\ -j & -j1 & j1 \\ j4 & -j2 & j0.5 \end{bmatrix}$$

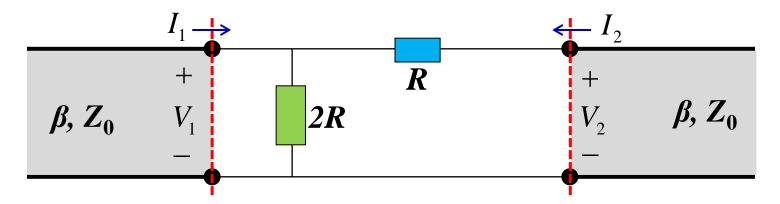
$$\mathbf{Z} = \begin{bmatrix}
j2 & -j & 4 \\
-j & -1 & -j2 \\
4 & -j2 & j0.5
\end{bmatrix}$$
 re

reciprocal, but not lossless lossless and reciprocal

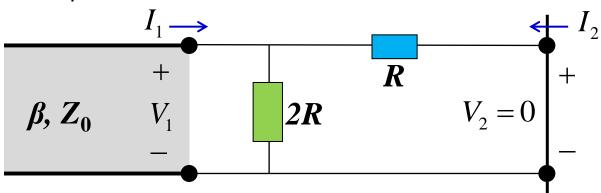
$$\mathbf{Z} = \begin{bmatrix}
j2 & -j & j4 \\
-j & -j & -j2 \\
j4 & -j2 & j0.5
\end{bmatrix}$$

## Example - 1

determine the admittance matrix of the following two-port device.



**Step-1:** Place a **short** at port 2



**Step-2:** Determine currents I<sub>1</sub> and I<sub>2</sub>

 Note that after the short was placed at port 2, both resistors are in parallel, with a potential V₁ across each



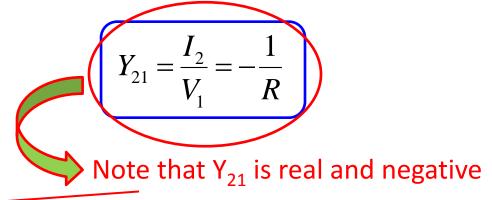
$$I_1 = \frac{V_1}{2R} + \frac{V_1}{R} = \frac{3V_1}{2R}$$

• The current  $I_2$  equals the portion of current  $I_1$  through R but with opposite sign

$$I_2 = -\frac{V_1}{R}$$

**Step-3:** Determine the trans-admittances  $Y_{11}$  and  $Y_{21}$ 

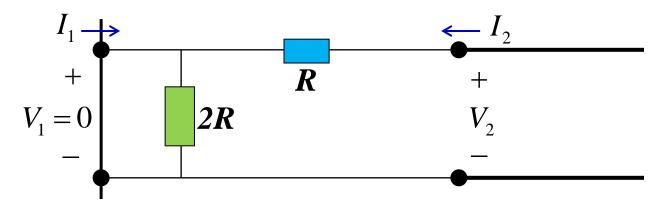
$$Y_{11} = \frac{I_1}{V_1} = \frac{3}{2R}$$



This is **still** a valid physical result, **although** you will find that the **diagonal** terms of an impedance or admittance matrix (e.g.,  $Y_{22}$ ,  $Z_{11}$ ,  $Y_{44}$ ) will **always** have a real component that is **positive** 

To find the **other two** trans-admittance parameters, we must **move** the short and then **repeat** each of our previous steps!

**Step-1:** Place a **short** at port 1



**Step-2:** Determine currents I<sub>1</sub> and I<sub>2</sub>

Note that after a short was placed at port 1, resistor 2R has zero voltage across it—and thus zero current through it!

#### **Therefore:**

$$I_2 = \frac{V_2}{R}$$

$$I_1 = -I_2 = -\frac{V_2}{R}$$

**Step-3:** Determine the trans-admittances  $Y_{12}$  and  $Y_{22}$ 

$$Y_{12} = \frac{I_1}{V_2} = -\frac{1}{R}$$

$$Y_{22} = \frac{I_2}{V_2} = \frac{1}{R}$$

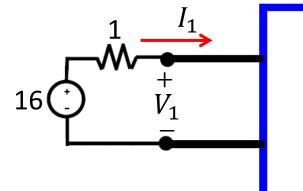
**Therefore the admittance matrix is:** 

$$Y = \begin{bmatrix} 3/2R & -1/R \\ -1/R & 1/R \end{bmatrix}$$

Is it lossless or reciprocal?

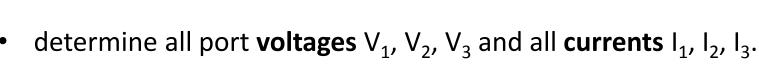
## Example – 2

Consider this circuit:



 Where the 3-port device is characterized by the impedance matrix:

$$\mathbf{Z} = \begin{bmatrix} 2 & 1 & 2 \\ 1 & 1 & 4 \\ 2 & 4 & 1 \end{bmatrix}$$





#### **Scattering Matrix**

- At "low" frequencies, a linear device or network can be fully characterized using an impedance or admittance matrix, which relates the currents and voltages at each device terminal to the currents and voltages at all other terminals.
- But, at high frequencies, it is not feasible to measure total currents and voltages!
- Instead, we can measure the magnitude and phase of each of the two transmission line waves V<sup>+</sup>(z) and V<sup>-</sup>(z) → enables determination of relationship between the incident and reflected waves at each device terminal to the incident and reflected waves at all other terminals
- These relationships are completely represented by the scattering matrix that completely describes the behavior of a linear, multi-port device at a given frequency  $\omega$ , and a given line impedance  $Z_0$

Note that we have now characterized transmission line activity in terms of incident and "reflected" waves. The negative going "reflected" waves can be viewed as the waves exiting the multi-port network or device.

device. Port-1  $V_{1}^{+}(z_{1})$   $V_{1}^{-}(z_{1})$   $z_{1} = z_{1P}$ 

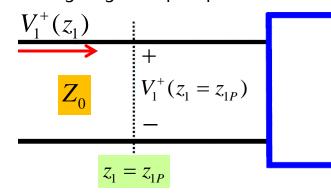
4-port Linear Microwave Network

 $\begin{array}{c}
V_{3}^{-}(z_{3}) \\
V_{3}^{+}(z_{3})
\end{array}$   $z_{3} = z_{3P}$ 

Port-3

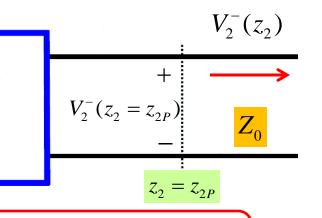
Viewing transmission line activity this way, we can fully characterize a multi-port device by its scattering parameters!

Say there exists an incident wave on port 1 (i.e., V<sub>1</sub><sup>+</sup> (z<sub>1</sub>) ≠ 0), while the incident waves on all other ports are known to be zero (i.e., V<sub>2</sub><sup>+</sup>(z<sub>2</sub>) =V<sub>3</sub><sup>+</sup>(z<sub>3</sub>) =V<sub>4</sub><sup>+</sup>(z<sub>4</sub>) =0).



Say we measure/determine the voltage of the wave flowing **into port 1**, at the port 1 **plane** (i.e., determine  $V_1^+(z_1 = z_{1P})$ ).

Say we then measure/determine the voltage of the wave flowing **out** of **port 2**, at the port 2 plane (i.e., determine  $V_2^-(z_2 = z_{2P})$ ).



The complex ratio between  $V_1^+(z_1 = z_{1P}^-)$  and  $V_2^-(z_2 = z_{2P}^-)$  is known as the **scattering parameter**  $S_{21}^-$ 

#### Therefore:

$$S_{21} = \frac{V_2^-(z_2 = z_{2P})}{V_1^+(z_1 = z_{1P})} = \frac{V_2^-e^{+j\beta z_{2P}}}{V_1^+e^{-j\beta z_{1P}}} = \frac{V_2^-}{V_1^+}e^{+j\beta(z_{2P}+z_{1P})}$$

#### Similarly:

$$S_{31} = \frac{V_3^-(z_3 = z_{3P})}{V_1^+(z_1 = z_{1P})}$$

$$S_{41} = \frac{V_4^-(z_4 = z_{4P})}{V_1^+(z_1 = z_{1P})}$$

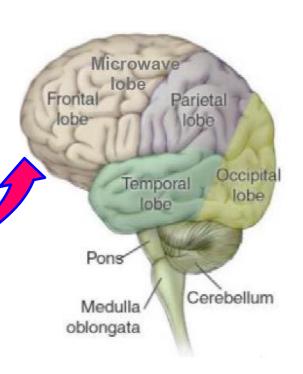
- We of course could **also** define, say, scattering parameter  $S_{34}$  as the ratio between the complex values  $V_3^-(z_3 = z_{3P})$  (the wave **out of** port 3) and  $V_4^+(z_4 = z_{4P})$  (the wave **into** port 4), given that the input to all other ports (1,2, and 3) are zero
- Thus, more generally, the ratio of the wave incident on port n to the wave emerging from port m is:

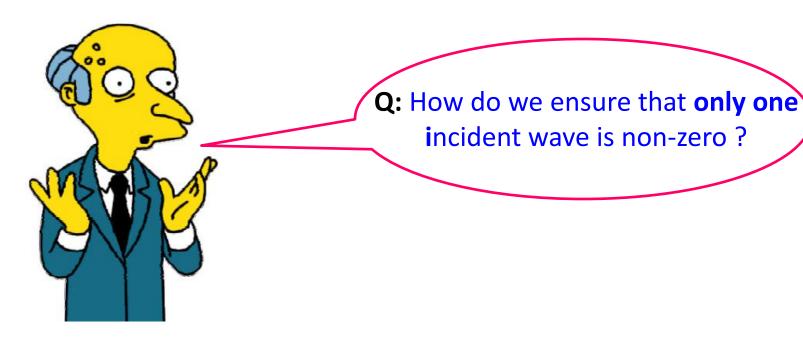
$$S_{mn} = \frac{V_m^-(z_m = z_{mP})}{V_n^+(z_n = z_{nP})}$$
  $V_k^+(z_k) = 0$  for all  $k \neq n$ 

• Note that, frequently the port positions are assigned a **zero** value (e.g.,  $z_{1P}=0$ ,  $z_{2P}=0$ ). This of course **simplifies** the scattering parameter calculation:

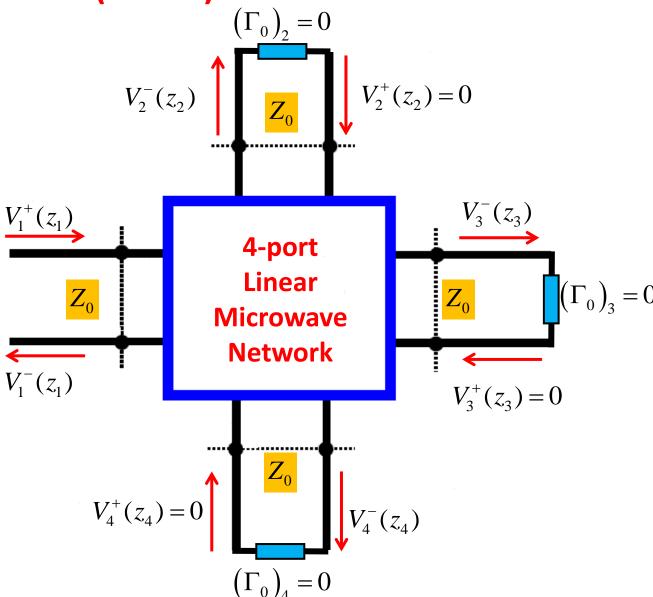
$$S_{mn} = \frac{V_m^-(z_m = 0)}{V_n^+(z_n = 0)} = \frac{V_m^+ e^{+j\beta 0}}{V_n^- e^{-j\beta 0}} = \frac{V_m^+}{V_n^-}$$

 We will generally assume that the port locations are defined as z<sub>nP</sub>=0, and thus use the above notation. But remember where this expression came from!





A: Terminate all other ports with a matched load!



• Note that **if** the ports are terminated in a **matched** load (i.e.,  $Z_1 = Z_0$ ), then  $(\Gamma_0)_n = 0$  and therefore:

$$V_n^+(z_n) = 0$$

In other words, terminating a port ensures that there will be **no signal** incident on that port!



Just between you and me, I think you've messed this up! In all previous slides you said that if  $\Gamma_0$  = 0, the wave in the **minus** direction would be zero:

$$V^{-}(z) = 0$$
 if  $\Gamma_0 = 0$ 

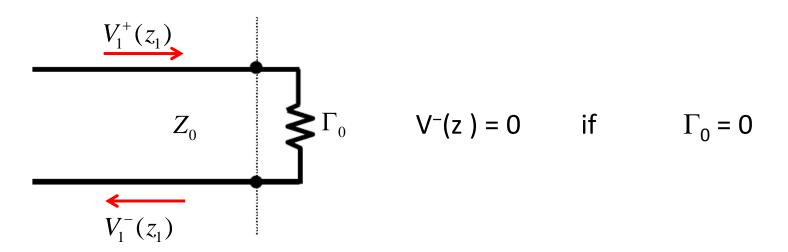
but just **now** you said that the wave in the **positive** direction would be zero:

$$V^+(z) = 0 \qquad \text{if} \qquad \Gamma_0 = 0$$

Obviously, there is **no way** that **both** statements can be correct!

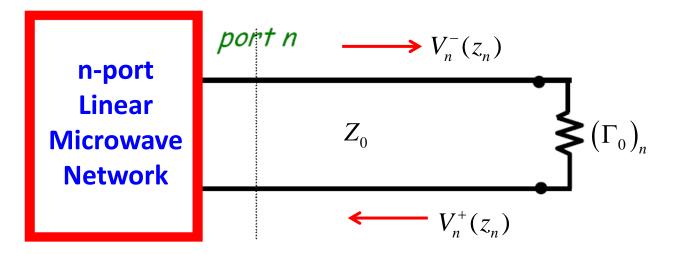
Actually, **both** statements are correct! You must be careful to understand the **physical definitions** of the plus and minus directions—in other words, the propagation directions of waves  $V_n^+(z_n)$  and  $V_n^-(z_n)$ !

#### For example, we **originally** analyzed this case:



In this original case, the wave **incident** on the load is  $V^+(z)$  (**plus** direction), while the **reflected** wave is  $V^-(z)$  (**minus** direction).

**Contrast** this with the case we are **now** considering:



• For this current case, the situation is **reversed**. The wave incident on the load is **now** denoted as  $V_n^-(z_n)$  (coming **out** of port n), while the wave reflected off the load is **now** denoted as  $V_n^+(z_n)$  (going **into** port n).

• back to our discussion of S-parameters. We found that if  $z_{nP} = 0$  for all ports n, the scattering parameters could be directly written in terms of wave amplitudes  $V_n^+$  and  $V_m^-$ 

$$S_{mn} = \frac{V_m^-}{V_n^+}$$
  $V_k^+(z_k) = 0$  for all  $k \neq n$ 

Which we can now equivalently state as:

$$S_{mn} = \frac{V_m^-}{V_n^+}$$
 (for all ports, except port *n*, are terminated in matched loads)

 One more important note—notice that for the ports terminated in matched loads (i.e., those ports with no incident wave), the voltage of the exiting wave is also the total voltage!

For all 
$$V_m(z_m) = V_m^+ e^{-j\beta z_m} + V_m^- e^{+j\beta z_m} = 0 + V_m^- e^{+j\beta z_m} = V_m^- e^{+j\beta z_m}$$
 terminated ports!

• Therefore, the value of the exiting wave **at** each terminated **port** is the value of the total voltage **at** those ports:

$$V_m(0) = V_m^+ + V_m^- = 0 + V_m^- = V_m^-$$
 For all terminated ports!

And so, we can express some of the scattering parameters equivalently as:

$$S_{mn} = \frac{V_m(0)}{V_n^+}$$
 (for terminated port  $m$ , i.e., for m  $\neq$  n)

This result could be **helpful** for determining scattering parameters where  $m \ne n$  (e.g.,  $S_{21}$ ,  $S_{43}$ ,  $S_{13}$ ), as we can often use traditional **circuit theory** to easily determine the **total** port voltage  $V_m(0)$ 

However, we **cannot** use the expression above to determine the scattering parameters when m=n (e.g.,  $S_{11}$ ,  $S_{22}$ ,  $S_{33}$ ).

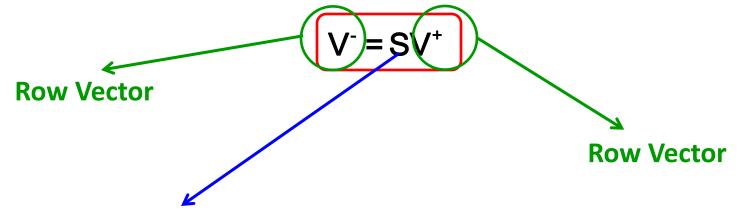
- We can use the scattering matrix to determine the solution for a more general circuit—one where the ports are not terminated in matched loads!
- Since the device is linear, we can apply superposition. The output at any
  port due to all the incident waves is simply the coherent sum of the
  output at that port due to each wave!
- For example, the **output** wave at port 3 can be determined by (assuming  $z_{nP} = 0$ ):

$$V_3^- = S_{34}V_4^+ + S_{33}V_3^+ + S_{32}V_2^+ + S_{31}V_1^+$$

More generally, the output at port m of an N-port device is:

$$V_m^- = \sum_{n=1}^N S_{mn} V_n^+$$
  $\mathbf{z_{nP}} = \mathbf{0}$ 

This expression of Scattering parameter can be written in matrix form as:



#### **Scattering Matrix**

$$S = \begin{bmatrix} S_{11} & S_{12} & \dots & S_{1n} \\ S_{21} & & & \vdots \\ \vdots & & & & \\ S_{m1} & S_{m2} & \dots & S_{mn} \end{bmatrix}$$

The scattering matrix is N by N matrix that completely characterizes a linear, N-port device. Effectively, the scattering matrix describes a multi-port device the way that  $\Gamma_0$  describes a single-port device (e.g., a load)!

• The values of the scattering matrix for a particular device or network, just like  $\Gamma_0$ , are **frequency dependent!** Thus, it may be more instructive to **explicitly** write:

$$S(\omega) = \begin{bmatrix} S_{11}(\omega) & S_{12}(\omega) & \dots & S_{1n}(\omega) \\ S_{21}(\omega) & & & \vdots \\ \vdots & & & & \\ S_{m1}(\omega) & S_{m2}(\omega) & \dots & S_{mn}(\omega) \end{bmatrix}$$

- Also realize that—also just like  $\Gamma_0$ —the scattering matrix is dependent on both the device/network and the Z<sub>0</sub> value of the transmission lines connected to it.
- Thus, a device connected to transmission lines with  $Z_0 = 50\Omega$  will have a completely different scattering matrix than that same device connected to transmission lines with  $Z_0 = 100\Omega$

## Matched, Lossless, Reciprocal Devices

- A device can be lossless or reciprocal. In addition, we can also classify it as being matched.
- Let's examine each of these three characteristics, and how they relate to the scattering matrix.

#### **Matched Device**

A matched device is another way of saying that the **input impedance** at each port is **equal to Z\_0** when **all other** ports are terminated in matched loads. As a result, the **reflection coefficient** of each port is **zero**—no signal will come out from a port if a signal is incident on that port (but **only** that port!).

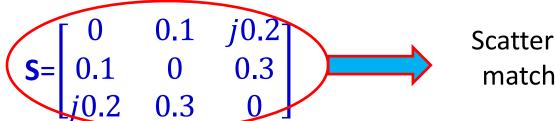
#### In other words,

$$V_m^- = S_{mm}V_m^+ = 0$$
 For all m

When all the ports 'm' are matched

#### Matched, Lossless, Reciprocal Devices (contd.)

 It is apparent that a matched device will exhibit a scattering matrix where all diagonal elements are zero.



Scattering matrix for a matched, three-port device

#### **Lossless Device**

- For a lossless device, all of the power that is delivered to each device port must eventually find its way out!
- In other words, power is not absorbed by the network—no power to be converted to heat!
- The power incident on some port m is related to the amplitude of the incident wave  $(V_m^+)$  as:

## Matched, Lossless, Reciprocal Devices (contd.)

The power of the wave exiting the port is:

$$P_m^- = \frac{\left|V_m^-\right|^2}{2Z_0}$$

the power absorbed by that port is the difference of the incident power and reflected power:

$$\Delta P_{m} = P_{m}^{+} - P_{m}^{-} = \frac{\left|V_{m}^{+}\right|^{2}}{2Z_{0}} - \frac{\left|V_{m}^{-}\right|^{2}}{2Z_{0}}$$

For an N-port device, the total incident power is:

$$P^{+} = \sum_{m=1}^{N} P_{m}^{+} = \frac{1}{2Z_{0}} \sum_{m=1}^{N} \left| V_{m}^{+} \right|^{2} = \left( V^{+} \right)^{H} V^{+}$$

$$V^{+} = \sum_{m=1}^{N} P_{m}^{+} = \frac{1}{2Z_{0}} \sum_{m=1}^{N} \left| V_{m}^{+} \right|^{2} = \left( V^{+} \right)^{H} V^{+}$$

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$$P^{+} = \sum_{m=1}^{N} P_{m}^{+} = \frac{\left(V^{+}\right)^{H} V^{+}}{2Z_{0}}$$

$$P^{-} = \sum_{m=1}^{N} P_{m}^{-} = \frac{\left(\mathbf{V}^{-}\right)^{H} \mathbf{V}^{-}}{2Z_{0}}$$

## Matched, Lossless, Reciprocal Devices (contd.)

 Recall that the incident and reflected wave amplitudes are related by the scattering matrix of the device as:

Therefore,

$$P^{-} = \frac{\left(\mathbf{V}^{-}\right)^{H}\mathbf{V}^{-}}{2Z_{0}} = \frac{\left(\mathbf{V}^{+}\right)^{H}\mathbf{S}^{H}\mathbf{S}\mathbf{V}^{+}}{2Z_{0}}$$

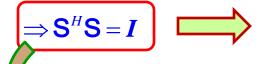
• Therefore the total power delivered to the N-port device is:

$$\Delta P = P^{+} - P^{-} = \frac{\left(\mathbf{V}^{+}\right)^{H} \mathbf{V}^{+}}{2Z_{0}} - \frac{\left(\mathbf{V}^{+}\right)^{H} \mathbf{S}^{H} \mathbf{S} \mathbf{V}^{+}}{2Z_{0}}$$

• For a lossless device:  $\Delta P = 0 \Rightarrow \frac{(V^+)^H}{2Z_0} (I - S^H S) V^+ = 0$  For all  $V^+$ 

• Therefore:  $I - S^H S = 0$ 





 $\Rightarrow S^H S = I$  a special kind of matrix known as a unitary matrix

If a network is lossless, then its scattering matrix S is unitary

**How to recognize a unitary matrix?** 

The columns of a unitary matrix form an orthonormal set!

#### **Example:**

$$S = \begin{bmatrix} S_{11} & S_{12} & S_{13} & S_{14} \\ S_{21} & S_{22} & S_{23} & S_{24} \\ S_{31} & S_{32} & S_{33} & S_{34} \\ S_{41} & S_{42} & S_{43} & S_{44} \end{bmatrix}$$
 have a **magnitude equal to one** 
$$\sum_{m=1}^{N} |S_{mn}|^2 = 1$$
 For all **n** inner product (i.e., dot product) of **dissimilar**

each column of the scattering matrix will

have a magnitude equal to one

$$\sum_{m=1}^{N} \left| S_{mn} \right|^2 = 1 \quad \text{For all } \mathbf{n}$$

columns must be zero

are orthogonal



dissimilar columns 
$$\sum_{m=1}^{N} S_{mi} S_{mj}^* = S_{1i} S_{1j}^* + S_{2i} S_{2j}^* + .... + S_{Ni} S_{Nj}^* = 0$$

For all i≠j

 For example, for a lossless three-port device: say a signal is incident on port 1, and that all other ports are terminated. The power incident on port 1 is therefore:

$$P_1^+ = \frac{\left|V_1^+\right|^2}{2Z_0}$$

and the power exiting the device at each port is:

$$P_{m}^{-} = \frac{\left|V_{m}^{-}\right|^{2}}{2Z_{0}} = \frac{\left|S_{m1}V_{1}^{+}\right|^{2}}{2Z_{0}} = \left|S_{m1}\right|^{2}P_{1}^{+}$$

The total power exiting the device is therefore:

$$P^{-} = P_{1}^{-} + P_{2}^{-} + P_{3}^{-} = |S_{11}|^{2} P_{1}^{+} + |S_{21}|^{2} P_{1}^{+} + |S_{31}|^{2} P_{1}^{+}$$

$$\Rightarrow P^{-} = (|S_{11}|^{2} + |S_{21}|^{2} + |S_{31}|^{2}) P_{1}^{+}$$

$$\Rightarrow P^{-} = (|S_{11}|^{2} + |S_{21}|^{2} + |S_{31}|^{2})P_{1}^{+}$$

• Since this device is **lossless**, then the incident power (only on port 1) is equal to exiting power (i.e,  $P^- = P_1^+$ ). This is true only if:

$$\left|S_{11}\right|^2 + \left|S_{21}\right|^2 + \left|S_{31}\right|^2 = 1$$

Of course, this will be true if the incident wave is placed on any of the other ports of this lossless device

$$|S_{12}|^2 + |S_{22}|^2 + |S_{32}|^2 = 1$$
  $|S_{13}|^2 + |S_{23}|^2 + |S_{33}|^2 = 1$ 

- We can state in general then that:  $\sum_{m=1}^{N} |S_{mn}|^2 = 1$  For all n
- In other words, the columns of the scattering matrix must have unit magnitude (a requirement of all unitary matrices). It is apparent that this must be true for energy to be conserved.

An example of a (unitary) scattering matrix for a 4-port lossless device is:

$$S = \begin{bmatrix} 0 & 1/2 & j\sqrt{3}/2 & 0 \\ 1/2 & 0 & 0 & j\sqrt{3}/2 \\ j\sqrt{3}/2 & 0 & 0 & 1/2 \\ 0 & j\sqrt{3}/2 & 1/2 & 0 \end{bmatrix}$$

#### **Reciprocal Device**

- Recall reciprocity results when we build a passive (i.e., unpowered) device with simple materials.
- For a reciprocal network, we find that the elements of the scattering matrix are **related** as:

$$S_{mn} = S_{nm}$$

• For example, a reciprocal device will have  $S_{21} = S_{12}$  or  $S_{32} = S_{23}$ . We can write reciprocity in matrix form as:

$$S^T = S$$
 where T indicates transpose.

 An example of a scattering matrix describing a reciprocal, but lossy and non-matched device is:

$$S = \begin{bmatrix} 0.10 & -0.40 & -j0.20 & 0.05 \\ -0.40 & j0.20 & 0 & j0.10 \\ -j0.20 & 0 & 0.10 - j0.30 & -0.12 \\ 0.05 & j0.10 & -0.12 & 0 \end{bmatrix}$$

# Example - 3

• A **lossless**, **reciprocal** 3-port device has S-parameters of  $S_{11} = {}^{1}\!/_{2}$ ,  $S_{31} = {}^{1}\!/_{\sqrt{2}}$ , and  $S_{33} = 0$ . It is likewise known that all scattering parameters are **real**.



→ Find the remaining 6 scattering parameters.

Q: This problem is clearly impossible—you have not provided us with sufficient information!

A: Yes I have! Note I said the device was lossless and reciprocal!

# Example – 3 (contd.)

Start with what we **currently** know:

$$\mathbf{S} = \begin{bmatrix} 1/_2 & S_{12} & S_{13} \\ S_{21} & S_{22} & S_{23} \\ 1/_{\sqrt{2}} & S_{32} & 0 \end{bmatrix}$$

As the device is **reciprocal**, we then also know:

$$S_{12} = S_{21}$$

$$S_{13} = S_{31} = \frac{1}{\sqrt{2}}$$

$$S_{32} = S_{23}$$

And therefore:

$$\mathbf{S} = \begin{bmatrix} 1/2 & S_{21} & 1/\sqrt{2} \\ S_{21} & S_{22} & S_{32} \\ 1/\sqrt{2} & S_{32} & 0 \end{bmatrix}$$

Now, since the device is **lossless**, we know that:

$$|S_{11}|^{2} + |S_{21}|^{2} + |S_{31}|^{2} = 1$$

$$|S_{12}|^{2} + |S_{22}|^{2} + |S_{32}|^{2} = 1$$

$$|S_{12}|^{2} + |S_{22}|^{2} + |S_{32}|^{2} = 1$$

$$|S_{13}|^{2} + |S_{23}|^{2} + |S_{33}|^{2} = 1$$

$$(1/2)^{2} + |S_{21}|^{2} + (1/\sqrt{2})^{2} = 1$$

$$|S_{21}|^{2} + |S_{22}|^{2} + |S_{32}|^{2} = 1$$

$$(1/2)^{2} + |S_{32}|^{2} + (1/\sqrt{2})^{2} = 1$$

$$(1/2)^{2} + |S_{32}|^{2} + (1/\sqrt{2})^{2} = 1$$

$$(1/2)^{2} + |S_{32}|^{2} + (1/\sqrt{2})^{2} = 1$$

# Example - 3 (contd.)

$$0 = S_{11}S_{12}^* + S_{21}S_{22}^* + S_{31}S_{32}^* = \frac{1}{2}S_{12}^* + S_{21}S_{22}^* + \frac{1}{\sqrt{2}}S_{32}^*$$

$$0 = S_{11}S_{13}^* + S_{21}S_{23}^* + S_{31}S_{33}^* = \frac{1}{2}\frac{1}{\sqrt{2}} + S_{21}S_{32}^* + \frac{1}{\sqrt{2}}(0)$$

$$0 = S_{12}S_{13}^* + S_{22}S_{23}^* + S_{32}S_{33}^* = S_{21}\left(\frac{1}{\sqrt{2}}\right) + S_{22}S_{32}^* + S_{32}(0)$$



We can simplify these expressions and can further simplify them by using the fact that the elements are all **real**, and therefore  $S_{21} = S_{21}^*$  (etc.).



Q: I count the simplified expressions and find 6 equations yet only a paltry 3 unknowns. Your typical buffoonery appears to have led to an over-constrained condition for which there is **no** solution!

## Example – 3 (contd.)

A: Actually, we have **six** real equations and **six** real unknowns, since scattering element has a magnitude and phase. In this case we know the values are **real**, and thus the phase is either  $0^{\circ}$  or  $180^{\circ}$  (i.e.,  $e^{j0} = 1$  or  $e^{j\pi} = -1$ ); however, we do not know which one!

 the scattering matrix for the given lossless, reciprocal device is:

$$\mathbf{S} = \begin{bmatrix} 1/2 & 1/2 & 1/\sqrt{2} \\ 1/2 & 1/2 & -1/\sqrt{2} \\ 1/\sqrt{2} & -1/\sqrt{2} & 0 \end{bmatrix}$$

# A Matched, Lossless, Reciprocal 3-Port Network

Consider a 3-port device. Such a device would have a scattering matrix:

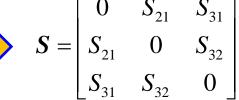
$$S = \begin{bmatrix} S_{11} & S_{12} & S_{13} \\ S_{21} & S_{22} & S_{23} \\ S_{31} & S_{32} & S_{33} \end{bmatrix}$$

Assuming the device is passive and made of simple (isotropic) materials, the device will be **reciprocal**, so that:

$$S_{21} = S_{12}$$
  $S_{31} = S_{13}$   $S_{23} = S_{32}$ 

Similarly, if it is **matched**, we know that:  $S_{11} = S_{22} = S_{33} = 0$ 

As a result, a lossless, reciprocal device would have a scattering matrix of the form:  $S = \begin{vmatrix} 0 & S_{21} & S_{31} \\ S_{21} & 0 & S_{32} \\ S_{21} & S_{22} & 0 \end{vmatrix}$ 



# A Matched, Lossless, Reciprocal 3-Port Network (contd.)

 if we wish for this network to be lossless, the scattering matrix must be unitary, and therefore:

$$|S_{21}|^{2} + |S_{31}|^{2} = 1$$

$$|S_{12}|^{2} + |S_{32}|^{2} = 1$$

$$|S_{13}|^{2} + |S_{23}|^{2} = 1$$

$$|S_{13}|^{2} + |S_{23}|^{2} = 1$$

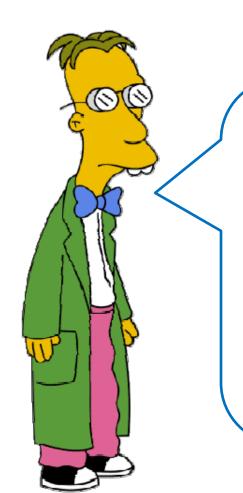
$$S_{31}^{*}S_{32} = 0$$

$$S_{31}^{*}S_{31} = 0$$

• Since each complex value S is represented by **two real numbers** (i.e., real and imaginary parts), the equations above result in **9** real equations. The problem is, the 3 complex values  $S_{21}$ ,  $S_{31}$  and  $S_{32}$  are represented by only **6** real unknowns.

We have **over constrained** our problem! There are **no unique solutions** to these equations!

# A Matched, Lossless, Reciprocal 3-Port Network (contd.)



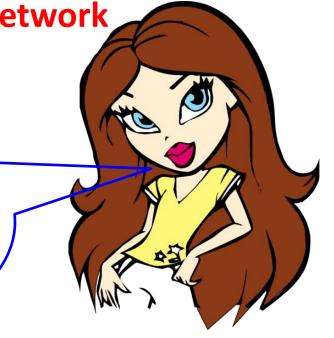
As unlikely as it might seem, this means that a matched, lossless, reciprocal **3-port** device of **any** kind is a **physical impossibility**!

You **can** make a lossless reciprocal 3-port device, **or** a matched reciprocal 3-port device, **or even** a matched, lossless (but non-reciprocal) 3-port network.

But try as you might, you **cannot** make a lossless, matched, **and** reciprocal three port component!

Matched, Lossless, Reciprocal 4-Port Network

Guess what! I have determined that—unlike a **3-port** device—a matched, lossless, reciprocal **4-port** device **is** physically possible! In fact, I've found **two** general solutions!



The first solution is referred to as the symmetric solution:

$$S = \begin{bmatrix} 0 & \alpha & j\beta & 0 \\ \alpha & 0 & 0 & j\beta \\ j\beta & 0 & 0 & \alpha \\ 0 & j\beta & \alpha & 0 \end{bmatrix}$$

# Matched, Lossless, Reciprocal 4-Port Network (contd.)

- Note for the symmetric solution, every row and every column of the scattering matrix has the same four values (i.e.,  $\alpha$ , j $\beta$ , and two zeros)!
- The second solution is referred to as the anti-symmetric solution:

$$S = \begin{bmatrix} 0 & \alpha & \beta & 0 \\ \alpha & 0 & 0 & -\beta \\ \beta & 0 & 0 & \alpha \\ 0 & -\beta & \alpha & 0 \end{bmatrix}$$

Note that for this anti-symmetric solution, **two** rows and **two** columns have the same four values (i.e.,  $\alpha$ ,  $\beta$ , and two zeros), while the **other** two row and columns have (slightly) **different** values ( $\alpha$ , - $\beta$ , and two zeros)

• It is quite evident that each of these solutions are matched and reciprocal. However, to ensure that the solutions are indeed lossless, we must place an additional constraint on the values of  $\alpha$ ,  $\beta$ . Recall that a necessary condition for a lossless device is:

$$\sum_{m=1}^{N} \left| S_{mn} \right|^2 = 1 \quad \text{For all } \mathbf{n}$$

## Matched, Lossless, Reciprocal 4-Port Network (contd.)

• For the **symmetric** case, we find:

$$\left|\alpha\right|^2 + \left|\beta\right|^2 = 1$$

• Similarly, for the anti-symmetric case, we find:

$$\left(\left|\alpha\right|^2 + \left|\beta\right|^2 = 1\right)$$

• It is evident that if the scattering matrix is **unitary** (i.e., lossless), the values  $\alpha$  and  $\beta$  cannot be independent, but must be **related** as:

$$\left(\left|\alpha\right|^2 + \left|\beta\right|^2 = 1\right)$$

• Generally speaking, we can find that  $\alpha \ge \beta$ . Given the constraint on these two values, we can thus conclude that:

$$0 \le |\beta| \le \frac{1}{\sqrt{2}} \qquad \qquad \frac{1}{\sqrt{2}} \le |\beta| \le 1$$

## Example – 4

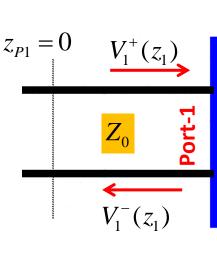
- Say we have a 3-port network that is completely characterized at some frequency ω by the scattering matrix:
- A matched load is attached to port 2, while a short circuit has been placed at port 3:

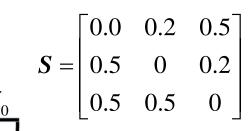
# a) Find the reflection

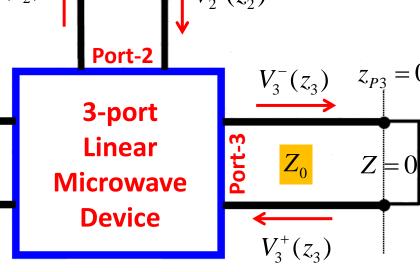
coefficient at port 1, i.e.:

$$\Gamma_1 = \frac{V_1^-(z_{P1})}{V_1^+(z_{P1})}$$

b) Find the transmission coefficient from port 1 to port 2, i.e.,  $T_{21} = \frac{V_2^-(z_{P2})}{V^+(z_-)}$ 







# Example – 4 (contd.)

#### **Solution:**

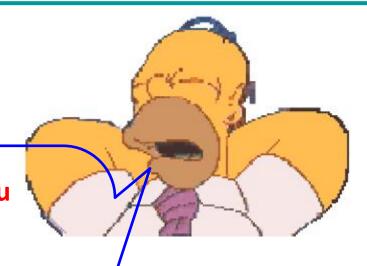
I am amused by the trivial problems that you apparently find so difficult. I know that:

$$\Gamma_1 = \frac{V_1^-}{V_1^+} = S_{11} = 0.0$$

and

$$T_{21} = \frac{V_2^-}{V_1^+} = S_{21} = 0.5$$

NO!!! The above solution is **not correct**!



# Example – 4 (contd.)



Remember,  $V_1^-/V_1^+ = S_{11}$  only if ports 2 and 3 are terminated in matched loads! In this problem port 3 is terminated with a short circuit.

Therefore: 
$$\Gamma_1 = \frac{V_1^-}{V_1^+} \neq S_{11}$$

and similarly: 
$$T_{21} = \frac{V_2^-}{V_1^+} \neq S_{21}$$

• To determine the values  $T_{21}$  and  $\Gamma_{1}$ , we must start with the **three** equations provided by the scattering matrix:

$$V_1^- = 0.2V_2^+ + 0.5V_3^+$$
  $V_2^- = 0.5V_1^+ + 0.2V_3^+$   $V_3^- = 0.5V_1^+ + 0.5V_2^+$ 

$$V_2^- = 0.5V_1^+ + 0.2V_3^+$$

$$V_3^- = 0.5V_1^+ + 0.5V_2^+$$

and the two equations provided by the attached loads:

$$V_2^+ = 0$$

$$V_3^+ = -V_3^-$$

# Example – 4 (contd.)

#### Solve those five expressions to find:

$$\Gamma_1 = \frac{V_1^-}{V_1^+} = -0.25$$

$$T_{21} = \frac{V_2^-}{V_1^+} = 0.4$$

## Example - 5

• Consider a **two-port device** with  $Z_0 = 50\Omega$  and scattering matrix (at some specific frequency  $\omega_0$ ):

$$S(\omega = \omega_0) = \begin{bmatrix} 0.1 & j0.7 \\ j0.7 & -0.2 \end{bmatrix}$$

 Say that the transmission line connected to port 2 of this device is terminated in a matched load, and that the wave incident on port 1 is:

$$V_1^+(z_1) = -j2e^{-j\beta z_1}$$
 where  $z_{1P} = z_{2P} = 0$ .

#### **Determine:**

- 1. the port voltages  $V_1(z_1 = z_{1p})$  and  $V_2(z_2 = z_{2p})$
- **2.** the port currents  $I_1(z_1 = z_{1p})$  and  $I_2(z_2 = z_{2p})$
- 3. the net power flowing into port 1

# Example – 5 (contd.)

#### **Solution:**

**1.** Given the incident wave on port 1 is:  $V_1^+(z_1) = -j2e^{-j\beta z_1}$ 

$$V_1^+(z_1) = -j2e^{-j\beta z_1}$$

• we can conclude (since  $z_{1P} = 0$ ):

$$V_1^+(z_1 = z_{1P}) = -j2e^{-j\beta z_{1P}} = -j2e^{-j\beta(0)} = -j2$$

since port 2 is matched (and only because its matched!), we find:

$$V_1^-(z_1 = z_{1P}) = S_{11}V_1^+(z_1 = z_{1P}) = 0.1(-j2) = -j0.2$$

The voltage at port 1 is thus:

$$V_{1}(z_{1}=z_{1P})=V_{1}^{+}(z_{1}=z_{1P})+V_{1}^{-}(z_{1}=z_{1P})=-j2+(-j0.2)=-j2.2=2.2e^{j(-\pi/2)}$$

- Similarly, since port 2 is matched:  $V_2^+(z_2=z_{2P})=0$
- Therefore:  $V_2^-(z_2 = z_{2P}) = S_{21}V_1^+(z_1 = z_{1P}) = j0.7(-j2) = 1.4$

# Example – 5 (contd.)

The voltage at port 2 is thus:

$$V_2(z_2 = z_{2P}) = V_2^+(z_2 = z_{2P}) + V_2^-(z_2 = z_{2P}) = 0 + 1.4 = 1.4 = 1.4e^{-j0}$$

2. The port currents can be easily determined from the results of the previous section

$$I_{1}(z_{1} = z_{1P}) = I_{1}^{+}(z_{1} = z_{1P}) - I_{1}^{-}(z_{1} = z_{1P}) = \frac{V_{1}^{+}(z_{1} = z_{1P})}{Z_{0}} - \frac{V_{1}^{-}(z_{1} = z_{1P})}{Z_{0}}$$

$$\Rightarrow I_{1}(z_{1} = z_{1P}) = -j\frac{2.0}{50} + j\frac{0.2}{50} = -j\frac{1.8}{50} = -j0.036 = 0.036e^{-j\pi/2}$$

$$I_{2}(z_{2} = z_{2P}) = I_{2}^{+}(z_{2} = z_{2P}) - I_{2}^{-}(z_{2} = z_{2P}) = \frac{V_{2}^{+}(z_{2} = z_{2P})}{Z_{0}} - \frac{V_{2}^{-}(z_{2} = z_{2P})}{Z_{0}}$$

$$\Rightarrow I_{2}(z_{2} = z_{2P}) = \frac{0}{50} - \frac{1.4}{50} = -\frac{1.4}{50} = -0.028 = 0.028e^{+j\pi}$$

# Example – 5 (contd.)

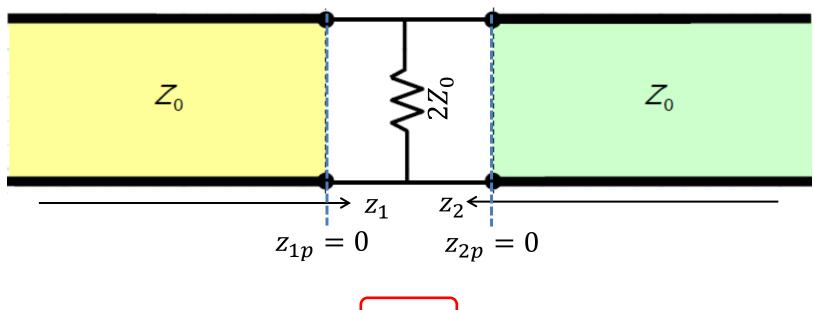
#### **3.** The **net power** flowing into port 1 is:

$$\Delta P_1 = P_1^+ - P_1^-$$

$$\Rightarrow \Delta P_1 = \frac{\left|V_1^+\right|^2}{2Z_0} - \frac{\left|V_1^-\right|^2}{2Z_0} \qquad \Rightarrow \Delta P_1 = \frac{\left(2\right)^2 - \left(0.2\right)^2}{2\left(50\right)} = 0.0396 \quad \text{Watts}$$

# Example - 6

determine the scattering matrix of this two-port device:



HA # 2